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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/072,635	02/05/2002	Densen Cao	5061.9 P	6321

7590 10/20/2003

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EXAMINER	
LEWIS, RALPH A	

ART UNIT	PAPER NUMBER
3732	8

DATE MAILED: 10/20/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	10/072,635	CAO, DENSEN	
	Examiner	Art Unit	
	Ralph A. Lewis	3732	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☐ Responsive to communication(s) filed on _____ .

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) 1-20 is/are pending in the application.

4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) 1-20 is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11)☐ The proposed drawing correction filed on _____ is: a)☐ approved b)☐ disapproved by the Examiner.

If approved, corrected drawings are required in reply to this Office action.

12)☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

13)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)☐ All b)☐ Some * c)☐ None of:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____ .

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

14)☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a) ☐ The translation of the foreign language provisional application has been received.

15)☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4,6 .
4) ☐ Interview Summary (PTO-413) Paper No(s). _____ .
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: .

Rejections based on Obvious-type Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington,* 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1- 20 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-36 of U.S. Patent No. 6,331,111 in view of Mills (WO 99/16136). The patented claims of 6,331,111 set forth all the limitations of the present claims with the exception of those requiring the secondary heat sink to be elongated. Mills, however, teaches that it is desirable to provide for an elongated secondary heat sink 45, 50, 51, in order to draw heat away from the primary heat sink 48. To elongate the secondary heat sink set forth in the patented claims of 6,331,111 in order to better draw heat away from the primary heat sink as taught by Mills would have been obvious to one of ordinary skill in the art. The particular size ratios claimed between the length of the wand and the length of the secondary heat sink all fall within a range one of ordinary skill in the art would have found obvious in constructing the claimed 6,331,111 device.

Claims 1-20 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-23 of copending Application No. 10/017,455. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of the present application are obvious variations of those concurrently set forth in application 10/017,455. More particularly, all the limitations of present claim 1 are found in the pending claims of 10/017,455 (note that the well of claim1, is found in claim 19 of the 10/017,455 application. Merely providing for different versions of the same claimed subject matter would have been obvious to one of ordinary skill in the art. In regard to the particular size ratios claimed between the length of the wand and the length of the secondary heat sink, one of ordinary skill in the art would have found the claimed values to all fall within a range one would expect in constructing the claimed 10/017,455 device.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claims 1-20 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-20 of copending Application No. 10/017,272. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of the present application are obvious variations of those concurrently set forth in application 10/017,272. More particularly, all the limitations of present claim 1 are found in the pending claims of 10/017,272. Merely providing for different versions of the same claimed subject matter would have been obvious to one of

ordinary skill in the art. In regard to the particular size ratios claimed between the length of the wand and the length of the secondary heat sink, one of ordinary skill in the art would have found the claimed values to all fall within a range one would expect in constructing the claimed 10/017,272 device.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Rejections based on Prior Art

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mills (WO 99/16136) in view of Doiron et al (5,698,866).

Mills discloses a dental curing light (page 1, second paragraph) comprised of a hand held wand (Figure 5) having a light module 47, an elongated heat sink 45, 50, 51, having a distal end surface serving as a mounting platform on which primary heat sink 48 is mounted and light emitting semiconductors 43 mounted to the primary heat sink 48. In Mills the LEDs are mounted directly on a flat heat sink 48. Doiron et al, however, teach that an improvement over mounting diodes on a flat surface (Figures 9 and 10) is mounting them in a well (Figures 11 and

12) formed on the heat sink so that more light from the LEDs is reflected forward in the desired direction. To have mounted the Mills LEDs in wells as taught by Doiron et al so that more light is reflected forward in the desired direction would have been obvious to one of ordinary skill in the art. In regard to the particular size ratios claimed between the length of the wand and the length of the secondary heat sink, one of ordinary skill in the art would have found the claimed values to all fall within a range one would expect in constructing Mills device.

Claims 10-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mills (WO 99/16136).

Mills discloses a dental curing light (page 1, second paragraph) comprised of a hand held wand (Figure 5) having a light module 47, an elongated heat sink 45, 50, 51, having a distal end surface serving as a mounting platform on which primary heat sink 48 is mounted and light emitting semiconductors 43 mounted to the primary heat sink 48. In regard to the plurality of epitaxial layers limitation, the limitation appears to be common and conventional in the construction of light emitting semiconductor chips. The use of conventional light emitting chips in the Mills device would have been obvious to one of ordinary skill in the art. In regard to the particular size ratios claimed between the length of the wand and the length of the secondary heat sink, one of ordinary skill in the art would have found the claimed values to all fall within a range one would expect in constructing Mills device.

Prior Art

Applicant's information disclosure statements of February 05, 2002 and August 06, 2002 have been considered and initialed copies are enclosed herewith.

Adam et al (6,419,483 B1), Boutoussov et al (US 6,439,888 B1), Fregoso (US 6,611,110 B1), Bianchetti et al (EP 1 090 607 A1), Mills (WO 99/161136) and Reipur (WO 02/33312 A2) are made of record.

Any inquiry concerning this communication should be directed to **Ralph Lewis** at telephone number **(703) 308-0770.** Fax (703) 872-9302. The examiner works a compressed work schedule and is unavailable every other Friday. The examiner's supervisor, Kevin Shaver, can be reached at (703) 308-2582.

R.Lewis
September 30, 2003

Ralph A. Lewis
Primary Examiner
Au3732